

Name:	 UPES UNIVERSITY WITH A PURPOSE
Enrolment No:	

UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, December 2021

Course: Indian Financial System
Program: BBA FAS
Course Code: FINC 3038

Semester: III
Time 03 hrs.
Max. Marks: 100

SECTION A

- 1. Each Question will carry 2 Marks** **20 Marks**
2. Instruction: Complete the statement / Select the correct answer(s)

S. No.	Question	CO
Q 1	The money market instrument is: (a) bond (b) debenture (c) stock certificate (d) certificate of deposit.	CO1
Q2	SEBI regulates a. Mutual Funds b. Depositories c. Registrar & Transfer Agents d. All the above	CO1
Q3	Government Bond is a: (a) short-term security (b) long-term security (c) medium-term security (d) either short-term or long-term security.	CO1
Q4	The functions and responsibilities of the sponsor, AMC, trustees and custodian of the mutual fund are listed in a. Offer document only b. Key information memorandum c. Both offer document and key information memorandum d. None of the above	CO1
Q5	Balanced fund is a mutual fund that a. Assures income b. Invests in debt and equity c. Assure growth d. Gives fixed returns	CO2
Q6	As regards development banks identify the exception. (a) IDBI Bank (b) The Small Industries Development Bank of India (c) The National Housing Bank (d) The National Bank for Agricultural and Rural Development	CO1
Q7	Which of the following is not a regulatory institution in Indian financial system? RBI b) CIBIL c) SEBI d) IRDA	CO1
Q8	A Venture Capital firm a) Pools resources to help the entrepreneur to start a new idea or concept b) Has no role in the management of firm c) Increase the risk in the business d) None of the above	CO2
Q9	The most important advantage of a money market mutual fund is a) Quick capital appreciation b) High regular income c) Safety of principal d) No loads	CO2
Q10	Which of the following is the apex institution which handles refinance for agriculture and rural development in India? a) EXIM Bank b) SIDBI c) NABARD d) IDBI	CO2

SECTION B

- 1. Each question will carry 5 marks. Attempt any four** **20 Marks**
2. Instruction: Write short / brief notes

Q1	Explain the evolution of banking system in India.	CO2
----	---	-----

Q2	Discuss the functions of Commercial Banks	CO2
Q3	Explain the role of RBI as Banker to the Government.	CO2
Q4	Discuss the stages of financing a Venture.	CO2
Q5	Analyze and discuss the Role of a Portfolio Manager in a Mutual Fund company.	CO2
SECTION C		
1. Each question will carry 10 marks. Attempt any three. 2. Instruction: Short Answer Type		30 Marks
Q 1	Discuss the structure of Indian Financial System and Examine the role of a developed financial system in the economic development of a country.	CO1
Q 2	“NABARD is the apex institution concerned with the policy, planning and operations in the field of agriculture and other rural economic activities”. Explain this statement highlighting the role of NABARD in development of agriculture sector	CO2
Q 3	“Mutual fund is a fund established in the form of a trust to raise moneys through the sale of units to the public or a section of the public under one or more schemes”. Apply the concept of Mutual fund in discussing this statement and classify the different types of mutual fund.	CO3
Q4	“The Reserve Bank of India as the Central Bank of the country plays different roles like the regulator, supervisor and facilitator of the Indian Banking System”. Explain the role of RBI as a controller of flow of money in the economy.	CO2
Section D		30 Marks
1. Each Question carries 15 Marks. 2. Instruction: Write long answer. 3. Attempt any two questions		
Q1	“A strong banking system is an indicator for the economic development of any nation. Banks are important segment in Indian Financial System”. Apply the conceptual background of financial system and discuss the role of an efficient and vibrant banking system as a backbone of the financial sector and economic development.	CO2
Q2	Classify the various financial intermediaries functioning in the Indian financial system and bring out their features.	CO2
Q3	Analyze the recent development in the activities of commercial banks and also highlight the challenges faced in the current scenario.	CO4