

Name:
Enrolment No:



UNIVERSITY OF PETROLEUM & ENERGY STUDIES
Online End Semester Examination, May 2021

Course: Marketing of Mutual Funds
Program: B. Com (H)
Course Code: FINC 2030

Semester: IV
Time 03 hrs.
Max. Marks: 100

SECTION A

1. Each Question will carry 5 Marks

2. Instruction: Complete the statement / Select the correct answer(s)

| S.No. | Question | CO |
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| 1. | Which of the following is NOT a reason to invest in mutual funds? A. Professional management of your investment B. Invest low amounts (as low as Rs. 500) in a diversified portfolio C. Flexibility to buy and sell your investments as and when you want D. Personal customized portfolio | CO1 |
| 2. | Investments of a mutual fund scheme are held by A. Custodian B. Board of Trustees C. AMC D. None of them | CO1 |
| 3. | _____ instruments include commercial papers, commercial bills, treasury bills, Government securities having an unexpired maturity up to one year. A. Money Market B. Gold ETFs C. Indexed funds D. Mutual Funds | CO2 |
| 4. | _____ of the income must be distributed to the investors in the form of dividends A. 90% B. 10% C. 80% | CO2 |

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| | D. 20% | |
| 5. | _____ is the term given to a new fund offering for purchasing units of a mutual fund scheme by an AMC A. New Fund Offer (NFO) B. Scheme Information Document (SID) C. Statement of Additional Information (SAI) D. Key Information Memorandum (KIM) | CO3 |
| 6. | Duties and responsibilities of a mutual fund distributor include the following: A. Educating the Investor B. Evaluating Risk Tolerance C. Both of them D. None of them | CO3 |
| SECTION B | | |
| 1. Each question will carry 10 marks 2. Instruction: Write short / brief notes | | |
| 7. | If the Amazon inc. has securities and other assets worth \$101 million and has liabilities of \$12 million. Find: a. NAV of the company b. NAV per share if the number of shares are 89,000. | CO4 |
| 8. | Write a short note on the different types of taxes levied on the mutual funds. | CO4 |
| 9. | Draw on a brief note on the financial goals of an investor. Also, suggest a few schemes of mutual funds on the basis of the financial goals of various investors. | CO1 |
| 10. | Write a short note on the role of any of the two in the mutual fund industry: a. SEBI b. RBI c. MoF d. AMFI | CO2 |
| 11. | a. Write a shot note on various innovative distribution channels of mutual funds. b. Name and describe an existing mutual fund scheme in which you would like to invest and why? | CO3 |
| SECTION C | | |
| 1. Each question will carry 20 marks 2. Instruction: Write long answers | | |
| 12. | Attempt any <i>two</i> of the following: a. A recent report (PWC, 2013) points out that the distribution of assets under management (AUM) across cities is highly skewed in favor of the top fifteen (T-15) cities of India. The T-15 cities contribute to 87% of the entire AUM in the country. Even within the T-15 cities, the top five cities (Mumbai, Delhi, Chennai, Kolkata and Bangalore) contribute | CO4 |

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| | <p>85% of the entire AUM at the T- 15 level i.e., 74% of the entire AUM in the country (PWC, 2013). In view of the report above, explain the probable reasons for the variation of the purchase of MF schemes across the country.</p> <p>b. Name and explain a few elements covered in an agreement between a distributor and a mutual fund.</p> <p>c. Explain various media platforms allowed by the SEBI for the advertisements by Mutual Funds</p> | |
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